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I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231, on January 13, 2003.

Rhea Amid

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Gerald R. EWALDT

Group Art Unit: 1644

In the application of:

Yajun GUO

Serial No.: 09/216,604

Filing Date: December 17, 1998

For: CELLULAR VACCINES AND

IMMUNOTHERAPEUTICS AND

METHODS FOR THEIR

PREPARATION

DECLARATION OF YAJUN GUO PURSUANT TO 37 C.F.R § 1.132

Assistant Commissioner for Patents Washington, D.C. 20231

Dear Sir:

- I, Yajun Guo, in my individual capacity, hereby declares as follows:
- 1. I am the sole inventor of the above-referenced patent application, and am familiar with the contents thereof.
- 2. I have reviewed the publication entitled "Adoptive immunotherapy of hepatocellular carcinoma with tumor specific CTL generated *in vitro* by stimulating TILs or PBLs with the cytokine treated tumor cells and a bispecific monoclonal antibody," published in

the Proceedings of the American Association for Cancer Research, 37:480 (#3278) (1996), and cited by the Examiner, for which I am a co-author. The publication was cited by the Examiner as prior art against the application under 35 U.S.C. § 102.

- 3. The publication cited describes work that is encompassed by this patent application and its parent applications. The co-authors Shi, L.H., Che, X.Y., Wu, M.C., Shen, F., Xie, T.P., Cui, Z.F., Wang, X.N. named in the publication were not involved in the conception of the subject matter of the presently claimed invention. Moreover, these co-authors worked under my direction performing work that led to the invention, but did not make an inventive contribution to the subject matter of the presently claimed invention.
- 4. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

2/1/03

Date

Yajun Guo